

Higher Education Survey

FY2017

A. Outstanding Pledges

Report the number and dollar amount of new contributions pledged (unconditionally) during the fiscal year but still outstanding at the end of the fiscal year, regardless of the promised payment date. Do not include pledges made in a previous year. Do not include pledge amounts paid during the reporting year.

Pledges (unconditional promises) should not be counted anywhere else in the VSE survey.

	No. of Pledges	Amount \$
1. For current operations	228	5,921,432
2. For capital purposes	24	7,859,851

B. New Testamentary Commitments

Report provisions made in wills (or through revocable trusts) during the fiscal year for which the institution has documentation. Documentation might include a photocopy of the pertinent portion of the will or trust document or a letter describing the commitment and its ultimate financial value to the institution. Do not include provisions that name the institution as a contingent beneficiary.

Testamentary commitments (bequest intentions) should not be counted anywhere else in the VSE Survey.

	Number of provisions	Face value of provisions \$	Present value of provisions \$
New Testamentary Commitments	11	9,099,372	3,776,742

The VSE Program
Section 2. Finances & Enrollment (Required for All Versions)

This entire section must be filled out in order to be listed in the VSE Report and be eligible for the CASE Award Program.

For Enrollment and FTEs, report the opening fall headcount or FTE count for the year being reported. Do not report unduplicated annual enrollment. For Endowment, report the market value at the end of the fiscal year being reported. For Expenditures, report the institution's E&G expenditures (read instructions for exclusions) AND the total expenditures of the affiliated foundation, if any.

ENROLLMENT: Count enrollment at the beginning of the academic year being reported (i.e., fall of 2016 for the report covering the 2016-17 fiscal year). All students (except continuing education) are counted: full-time, part-time, resident, extension, non-degree. (DO NOT convert part-time students to full-time equivalents.)

FULL-TIME EQUIVALENT ENROLLMENT: Count the FTE enrollment figure as of the beginning of the reporting period, i.e., opening fall FTE enrollment. If the FTE figure is not available, use the formula: the sum of all full-time students plus one-third of the number of part-time students (e.g., three part-time students equal one full-time student).

ENDOWMENT/LONG-TERM INVESTMENTS: Include all the following:

- **True endowment:** funds provided the institution, the principal of which is not expendable by the institution under the terms of the agreement that created the fund.
- **Term endowment:** similar to true endowment except that all or part of the funds may be expended after a stated period or upon the occurrence of a certain event as stated in the terms governing the funds.
- **Quasi-endowment:** funds functioning as endowment - funds given to the institution "with no strings attached" or surplus funds that have been added to the endowment fund, the principal of which may be spent at the discretion of the governing board.

Public institutions should include the combined endowment of the foundation and the institution.

As a guideline, institutions should enter the amount reported on the NACUBO endowment survey.

EXPENDITURES: Include all expenditures for Instruction, Research, Public service, Academic support, Student services, Institutional support, Scholarships and fellowships, and Operation and maintenance of physical plant. Exclude Auxiliary Enterprises, Hospital Services, and Independent operations.

Public institutions should enter the combined expenditures for the foundation and the institution.

	FY2016	FY2017
1. Enrollment - total headcount	1,711	1,677
2. Enrollment - full-time equivalent	1,703.0	1,661.0
3. Endowment market value \$	208,850,915	238,192,748
4. Expenditures \$	119,427,637	123,747,641

Notes on Endowment and Expenditures

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**Section 3a. Outright Giving: Current Operations
(Full option)**

DO NOT INCLUDE DEFERRED GIFTS IN THIS SECTION. There are three options for completing Section 3: Full, Partial, and Minimal. All forms of the survey count as full participation in the program. So, complete the version where the level of detail corresponds to the level of detail in your records. You might also want to do a shorter version because of time constraints.

Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. TOTAL
1. Unrestricted	2,748,255	909,530	90,061	487,832	190,551	5	20,227	426,174	4,872,635
2. Restricted Academic Divs.	10,270	0	0	25,000	250	0	0	265,458	300,978
3. Faculty & Staff Compensation	--	0	--	0	0	0	--	94,914	94,914
4. Research	--	--	--	65,000	0	0	--	--	65,000
5. Public Service & Extension	--	--	--	0	0	0	--	10,000	10,000
6. Library	151,275	--	120	400,000	0	0	--	75,000	626,395
7. Operation & Maint. of Plant	1,000	250	13,583	510,000	16,500	0	--	66,000	607,333
8. Student Financial Aid	7,500	29,526	3,100	100,000	2,500	1,500	53,103	111,000	308,229
9. Athletics	10,711	35,998	225	20,000	9,674	0	1,320	3,150	81,078
10. Other Restricted	758,109	82,804	94,972	648,145	34,927	7,377	0	549,639	2,175,973
11. Total Restricted (2-10)	938,865	148,578	112,000	1,768,145	63,851	8,877	54,423	1,175,161	4,269,900
12. Total Outright for Curr. Ops. (1&11)	3,687,120	1,058,108	202,061	2,255,977	254,402	8,882	74,650	1,601,335	9,142,535

The VSE Program
Section 3b. Outright Giving: Capital Purposes

Do not include deferred gifts in this section.
 Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished!

DO NOT INCLUDE SOFTWARE ON THE VSE SURVEY.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. TOTAL
1. Prop., Build. & Equipment	1,644,778	8,050	400,250	840,000	570,250	--	--	1,644,000	5,107,328
2. Endowment - Unrestricted	422,763	600	0	--	--	--	--	5,000	428,363
3. Endowment - Restricted	15,260,495	342,626	95,498	1,038,215	71,682	--	300	212,045	17,020,861
4. Loan Funds	2,500	--	--	--	--	--	--	--	2,500
5. Total Outright for Capital Purposes	17,330,536	351,276	495,748	1,878,215	641,932	--	300	1,861,045	22,559,052

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The VSE Program
Section 3c. Deferred Giving

Please report both Face Value (FV) and Present Value (PV). The Present Value for VSE purposes is defined as the tax deduction to the donor as allowed by the IRS. You must report both. If you find your records do not contain both figures, please contact [VSE Support](#) and request help. The survey cannot be accepted without face and present value figures.

There is a deferred gift calculator at www.cae.org/vse-data-miner/vse-survey/reporting-standards/.

This section is only for newly established deferred gifts or gifts that had funds added to them this year. Deferred gifts are ONLY: 1. Charitable Remainder Trusts. 2. Charitable Gift Annuities 3. Pooled Income Funds 4. Remainder Interest in Property. They are reported only when established, not when realized. DO NOT RECORD pledges, bequest intentions, gifts from IRAs, or gifts of life insurance in this section.

Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished.

	A. Alumni - FV	B. Alumni - PV	C. Parents - FV	D. Parents - PV	E. Others - FV	F. Others - PV	G. Total Face Value	H. Total Present Value
1. Endowment - Unrestricted	0	0	0	0	0	0	0	0
2. Endowment - Restricted	45,444	24,178	0	0	0	0	45,444	24,178
3. Other Purposes	0	0	0	0	0	0	0	0
4. Total Deferred Giving	45,444	24,178	0	0	0	0	45,444	24,178

The VSE Program
Section 3d. Gift Income Summary

This summary pulls together total rows from Section 3.a, 3.b, and 3.c. When you enter the data online, this section is filled in automatically. There is no data entry required.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. GRAND TOTAL
1. Total Outright for Current Operations	3,687,120	1,058,108	202,061	2,255,977	254,402	8,882	74,650	1,601,335	9,142,535
2. Total Outright for Capital Purposes	17,330,536	351,276	495,748	1,878,215	641,932	--	300	1,861,045	22,559,052
3. Total Deferred at Present Value	24,178	0	0	--	--	--	--	--	24,178
Official Total Using Present Value	21,041,834	1,409,384	697,809	4,134,192	896,334	8,882	74,950	3,462,380	31,725,765
	--	--	--	--	--	--	--	--	--
Total Deferred at Face Value	45,444	0	0	--	--	--	--	--	45,444
Total Using Face Value	21,063,100	1,409,384	697,809	--	--	--	--	--	31,747,031

1. Contributions from Individuals

A. Contributions from Individuals for All Purposes Alumni Total - REQUIRED

	No. of Record	No. Solicited	No. Donors	Amount \$
1a. Alumni undergraduate degree or diploma holders	17,808	16,036	5,418	20,699,097
1b. Alumni graduate only degree or diploma holders	--	--	--	--
1c. Alumni without a degree or diploma	981	718	129	342,737
1d. Enter Totals here ONLY if you have left 1a-1c above blank	--	--	--	--
1e. Alumni Total	18,789	16,754	5,547	21,041,834

	No. of Record	No. Solicited	No. Donors	Amount \$
2. Parents	8,283	7,678	1,978	1,409,384

	No. of Record	No. Solicited	No. Donors	Amount \$
3. Faculty and Staff	670	670	103	40,969
4. Students	1,654	445	279	1,612
5. All Others not counted in 1-4	1,607	642	329	655,228
Dollar Total of rows 3-5 above	--	--	--	697,809

B. Contributions from Individuals for Current Operations Only

	No. Solicited	No. Donors	Amount \$
1a. Alumni undergraduate degree or diploma holders	16,036	5,341	3,640,066
1b. Alumni graduate degree or diploma holders	--	--	--
1c. Alumni without a degree or diploma	718	126	47,054
1d. Enter Totals here ONLY if you have left 1a-1c above blank	--	--	--
1e. Alumni Curr. Ops. Total	16,754	5,467	3,687,120

	No. Solicited	No. Donors	Amount \$
2. Parents	7,678	1,954	1,058,108

	No. Solicited	No. Donors	Amount \$
3. Faculty and Staff	670	98	38,933
4. Students	445	284	1,612
5. All others not counted in 1-4 above	642	268	161,516
Dollar Total of rows 3-5 above	--	--	202,061

C. Bequests - REQUIRED Include realized bequests, not bequest intentions, which are reported in section 1. Make sure the bequests listed below are also reported in section 3A, 3B, and/or 3C. Bear in mind that most bequests would not appear in 3C. Generally, they are not deferred gifts. In some cases, though, a deferred gift may be established via a will. If any of the reported bequests were reported in 3C, report them below at their present value, not face value.

	No. of Donors	Amount \$
1. Bequests for Current Operations	10	79,809
2. Bequests for Capital Purposes	7	13,550,597
3. Enter Totals here ONLY if you have left lines 1 & 2 above blank	--	--
4. Total Bequests	17	13,630,406

D. Three Largest Donor Totals from Individuals - REQUIRED.

If any of these gifts are deferred gifts, use PRESENT value, not face value.

	Largest \$	2nd Largest \$	3rd Largest \$
1. From living individuals	1,667,874	763,588	756,578
2. Through estate settlements (bequests)	12,000,000	856,055	400,000

E. Direct Governing Board Giving for All Purposes

Include current, emeritus and honorary board members. On row 1 include only gifts that receive legal/hard credit. **NEW!** Gifts that receive soft credit may be reported on row 2.

Remember to report under the dollar amount, the sum of outright gifts plus deferred gifts at PRESENT (discounted) value.

You should count trustees in this section even though you previously counted them as alumni, parents, or other individuals. And soft-credit gifts would still be counted even though they are also counted under the type of organization that provided the direct gift.

Do not enter zero to indicate you are skipping the question! Just leave the question blank if you're not answering. It is very unlikely that zero is a valid answer to this question.

	No. of Donors	Amount \$
1. Governing Board Giving	50	4,210,646
2. Additional Soft-Credit Gifts	20	1,704,750

F. Deferred Giving - REQUIRED

Number of gifts (Charitable Remainder Trusts, Pooled Income Funds, Gift Annuities, and the like) whose dollar amount is reflected in Section 3.C.

	Number established or added to during reporting period
Deferred Giving	2

G. Appreciated Property Giving

	No. of Gifts	Amount \$
1. Securities	119	4,324,125
2. Real estate	--	--
3. Other real property	36	200,056
4. Enter Totals here ONLY if you have left lines 1-3 above blank	--	--
5. Total Apprec. Prop.	155	4,524,181

H. Personal Giving Additional Details

Some personal gifts are dispensed through organizations and therefore are not reflected as personal giving data on this survey. Please report below personal gifts that are officially counted as coming from organizations elsewhere on this survey. The amount from family foundations will appear in section 4b and should not be entered here.

	No. of Donors	Amount \$
Donor-Advised Funds	195	3,068,367
Businesses	75	632,458
Other Organizations (Including Federated Funds)	9	20,568

2. Contributions from Organizations

A. Foundations

	No. of Donors	Amount \$
1. Personal and Family	84	3,283,740
2. Other foundations and trusts, excluding corporate	16	850,452
3. Total	100	4,134,192

B. Three Largest Donor Totals from Foundations - REQUIRED

The sum of the three largest donor totals from Foundations below must not exceed the total dollar amount reported from Foundations above because these largest donor totals must be included in the amounts reported above and in Section 3.

	Largest \$	2nd Largest \$	3rd Largest \$
Foundations	800,000	500,000	400,000

C. Corporations

1. Forms of Giving

DO NOT INCLUDE SOFTWARE HERE OR IN SECTION 3. IF IT WAS INCLUDED IN SECTION 3, PLEASE RETURN TO THAT SECTION, REMOVE THE GIFT(S), AND SAVE 3D AGAIN.

	No. of Gifts	Amount \$
1. Cash and securities (exclusive of matching gifts)	111	681,248
2. Company products	--	--
3. Other company property	--	--
4. Matching gifts	318	215,086
5. Total	--	896,334

2. Additional Matching Gifts Details

In addition to the amounts listed directly above in line 4, please report below matching corporate gifts that were dispensed through a third party, such as a community foundation, charitable gift fund, or other entity. These would not be counted as corporate giving elsewhere in the survey, but are under the control of companies.

	No. of Gifts	Amount \$
Matching Gifts Not Directly From a Corporation	46	28,738

3. Software

If your institution wishes to record gifts of software, include them below. DO NOT include them anywhere else in the survey.

	Value of Software	No. Contributing Companies
Software	--	--

D. Three Largest Donor Totals from Corporations - REQUIRED

The sum of the three largest donor totals from Corporations below must not exceed the total dollar amount reported from Corporations you reported in Section 3.

DO NOT INCLUDE SOFTWARE HERE OR IN SECTION 3. IF IT WAS INCLUDED IN SECTION 3, PLEASE RETURN TO THAT SECTION, REMOVE THE GIFT(S), AND SAVE 3D AGAIN.

	Largest \$	2nd Largest \$	3rd Largest \$
Corporations	300,000	250,000	20,000

3. Other Fundraising Activity

A. Purposes of Gifts to Endowment: Income Restricted
 (Include both outright and deferred gifts, and use the PRESENT value of deferred gifts.)

	Amount \$
1. Academic Divisions	7,550
2. Faculty and Staff Compensation	484,023
3. Research	20,300
4. Public Service and Extension	0
5. Library	7,438
6. Operation and Maintenance of Plant	0
7. Student Financial Aid	15,481,251
8. Athletics	0
9. Other	1,044,477
Total	17,045,039

B. Support of Intercollegiate (Extramural) Athletics ONLY

Note that the total you report here is not comparable to other Athletics Giving Totals in the VSE Survey. In Section 3A, you report Current Operations Restricted Giving to Athletics for both Intramural and Extramural combined. Here you report only Extramural. Here should also include Extramural Gifts to Endowment, Income Restricted to Athletics that you include in Section 3.B (outright) and 3.C (deferred).

	No. of Donors	Amount \$
1. Restricted to current operations	103	81,078
2. Restricted to capital purposes	--	--
3. Total	103	81,078